



Statewide Project Management Group

TRANSPORTATION ENHANCEMENT PROGRAM

presented by
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TRANSPORTATION ENHANCEMENT PROGRAM



- Federal “set aside” program for projects above and beyond the typical transportation project
- 12 eligible activities (11 in AZ)
- Projects must relate to “surface transportation”

TRANSPORTATION ENHANCEMENT PROGRAM



- Distribute about 16M/year
- Reimbursement program
- Most awarded through a competitive application process
- 50% spent on local government rights of way

PROJECTS OF OPPORTUNITY

Transportation Enhancement (TE) Projects of Opportunity (POO) Funding Request

Please review the TE eligible activities attached to this form.

Submit this form to the Transportation Enhancement Section at MD EMMO or
Fax: 602-712-3347. AFTER this is signed by the ADOT Project Manager and District Engineer.

The Transportation Enhancement Section will then review the request and evaluate the availability of the POO Enhancement funds.

It is the responsibility of the Project Manager to present the request to the PRB – PPAC – Board as required. Please add the TE program coordinator to the PRB form when requesting funds.

Please note that using enhancement funds will change your project to Federal aid and must be advertised with the roadway project. The Project Manager is still responsible for all required clearances and additional design.

The Transportation Enhancement program is for transportation related projects that go "above and beyond" what is typically required for roadway projects. The twelve categories above list all the categories of enhancement funding. All projects must be "surface transportation" related. Funding cannot be used for mitigation type projects other than what is listed in category eleven.

The POO funding was created to add to roadway construction projects currently under development. The funding should typically be added after the project has been scoped, but prior to the 50% plan sheet. It can only be used for enhancement activities and not for mitigation of the roadway project.

Projects will be capped when obligated with Federal Highway Administration. Any costs overruns will need to be funded out of the roadway projects allocated with the use of enhancement funds.

1. Please describe the justification for the requested project

2. Please attach a "ball park" cost estimate:

ADOT Project Manager - Date

Section

District Engineer - Date

District

- Approved
 Not Approved

Transportation Enhancement Section Manager - Date

- 50% of funds spent on ADOT right of way
- 1.5 million for ADOT Projects of Opportunity
- TE activities that can be added to ADOT roadway construction projects currently under development
- \$300,000 funding limit

COMPETITIVE APPLICATION PROCESS: WHO CAN APPLY?



- Any federal, state, tribal or local government, or individual may apply for enhancement funding
- However, a governmental body must sponsor the project

STATE VERSUS LOCAL PROJECTS



- State projects are associated with the state highway system
- The federal funding cap for individual state projects is one million dollars



- Local projects are located outside of the ADOT right-of-way
- The federal funding cap for individual local projects is \$500,000

HOW TO APPLY?



ADD E and City of Sierra Vista- SR 90 Projects (Ayresda Del Sol and Cochise Crossroads)

ARIZONA TRANSPORTATION ENHANCEMENT PROGRAM ROUND 17

Sponsored by:
Arizona Department of Transportation
AND

U.S. Department of Transportation
Federal Highway Administration

Applications are due to Arizona Department of Transportation by
Friday, August 14, 2009 with endorsement from MPO and/or COG

***This page shall not be included in the application submittal ***

- Interested sponsors are required to fill out a project application
- The applicant must work with their local Metropolitan Planning Organization/Council of Governments (MPO/COG)
- Each MPO/COG has their own due date for the applications

State Project Applications

State Highway System



State Highway System

— Interstate
— US Route
— State Route
— Planned/Unbuilt

○ Cities and Towns
□ County Boundaries

Prepared by
Arizona Department of Transportation
Planning, Design, Data Services GIS Section
Source: ATR
(602) 712-7333
March 2007

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- Can be submitted by a Local Government Sponsor OR by ADOT
- Must be submitted through the MPO/COG
- District must sign the Application
- Application cost estimate requires signature of knowledgeable person
- TE On Call Consultants develop the project
- ADOT bids and administers

TERC

(TRANSPORTATION ENHANCEMENT REVIEW COMMITTEE)



Comprised of representatives of MPOs/COGs, FHWA, State agencies

Reviews Transportation Enhancement program applications

Selects and recommends projects for funding to the Arizona State Transportation Board

ELIGIBLE PROJECT ACTIVITIES:



1. Provision of facilities for pedestrians and bicycles

PROJECT ACTIVITIES



2. Provision of safety and educational activities for pedestrians and bicyclists

PROJECT ACTIVITIES



3. Acquisition of scenic easements or historic sites
-NOT ELIGIBLE IN ARIZONA

PROJECT ACTIVITIES



4. Scenic Highway Programs including the provision of Tourist and Welcome Center Facilities

PROJECT ACTIVITIES



5. Landscaping and other scenic beautification
 - About 80% of bicycle and pedestrian projects include landscaping*

PROJECT ACTIVITIES



6. Historic preservation
 - Must be “surface transportation” related*

PROJECT ACTIVITIES



7. Rehabilitation of historic transportation buildings, structures, or facilities (including historic railroad facilities and canals)

PROJECT ACTIVITIES



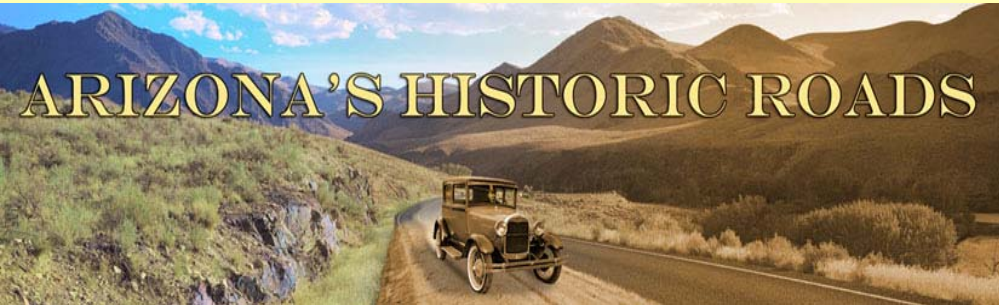
8. Preservation of abandoned railway corridors (including the conversion and use thereof for pedestrian or bicycle trails)

PROJECT ACTIVITIES



9. Control and removal of outdoor advertising

PROJECT ACTIVITIES



10. Archeological planning and research
 - Must be “surface transportation” related*

PROJECT ACTIVITIES



11. Environmental mitigation to address water pollution due to highway runoff or reduce vehicle-caused wildlife mortality while maintaining habitat connectivity

-not for required project mitigation

PROJECT ACTIVITIES



12. Establishment of transportation museums
 - *Very specific requirements*
 - *May be a new facility*

CONSTRUCTION / CONTRACT ADMINISTRATION



- ADOT administers entire project
- ADOT administers bidding and construction on behalf of local sponsors
- Local agency administers under Certification Acceptance procedures
- Local agency administers under TE self-administration procedures

LOCAL SELF-ADMINISTRATION CRITERIA



FEDERAL HIGHWAY ADMINISTRATION
ARIZONA DIVISION

DEVELOPMENT GUIDELINES

NUMBER: DG-42A February 13, 2007

SUBJECT: Local Government Agency Administration of Transportation Enhancement Projects

Normally, State Transportation Agencies administer all phases of Federal-aid Projects, including most Transportation Enhancement (TEA) projects. However, Local Government Agencies may administer certain phases of TEA projects, specifically including (1) the right of way and relocation assistance activities (2) the procurement process (bidding and award of contracts), and (3) the construction and contract administration processes. The following guidelines apply to situations where Local Governmental Agencies which have not been approved for Certification Acceptance desire to self-administer their TEA project.

1. These guidelines apply only to TEA projects.
2. During the initial project application phase, the Local Government Agency should indicate their intention to self-administer one or all of these phases: (1) the right of way phase, (2) the bidding and award of contract phase, (3) the construction phase.
3. The ADOT District Engineer must give prior comments or concurrence for Local Government Agency administration of the TEA projects.
4. In order to receive formal approval to self-administer any one or all three of the phases, the Local Government Agency must submit a written request for approval for self-administration to the ADOT Transportation Enhancement Section Manager delineating the phase or phases to be self-administered. If ADOT concurs with the request the ADOT Transportation Enhancement Section Manager will forward the request to FHWA with appropriate comments and recommendations.

The Local Government Agency request must acknowledge in writing that they understand and will comply with Federal-aid procedures and requirements throughout all stages of the project (State of Arizona procurement and procedures).

5. Utility and right-of-way clearances must be approved by ADOT. Real estate and Uniform Relocation Assistance and Re 1970, as amended (Uniform Act).
6. ADOT is responsible for the right of way

therefore, the Right of Way Chief (or designee) should give prior approval for Local Government Agency administration of right of way activities. When a Local Government Agency is approved for self administration of the right of way activities, ADOT's Right-of-Way Group must provide the right-of-way clearance for the project. This function can not be delegated to Local Government Agencies.

7. All phases of the scoping document must be reviewed and approved by ADOT. Additionally, subsequent scope changes must be approved by ADOT.
8. For any project affecting historic properties, all plan phases (30, 60, 95) must be submitted to ADOT for transmittal to the State Historic Preservation Office for review and comment.
9. 60% plans, specifications and cost estimates must be reviewed by ADOT to validate that federal aid and Transportation Enhancement program requirements are met.
10. The final scoping document, plans, specifications and estimates must be signed by a registered professional.
11. Davis-Bacon requirements do not apply to TEA projects located on local roads or rural minor collectors, or outside the right-of-way of a federal-aid eligible highway. The Davis-Bacon prevailing wage rate requirements apply to TEA projects greater than \$2,000 on all Federal-aid eligible highways. ADOT will determine if Davis-Bacon requirements apply.
12. DBE (Disadvantaged Business Enterprises) requirements apply on all projects over \$300,000.
13. The Americans With Disabilities Act (ADA) requirements apply to all projects.
14. A registered professional must monitor construction administration.
15. FHWA's approval for Local Government Agencies to administer the right of way activities phase, the bidding and award of contract phase and/or the actual construction and contract administration ~~does not constitute~~ authorization of funds for the project or authorization to proceed with the work. A formal request for authorization to proceed must be submitted to FHWA by ADOT, and FHWA must grant that authorization prior to the commencement of any activities where reimbursement with Federal-aid funds will be sought.
16. If the Preliminary Engineering phase includes federal funds the project should not proceed past 30% level of development without having an approved NEPA clearance.
17. The following items should be transmitted to FHWA for informational purposes: Bid Opening Report, Engineer's Estimate, Award date, and the Executed Contract.

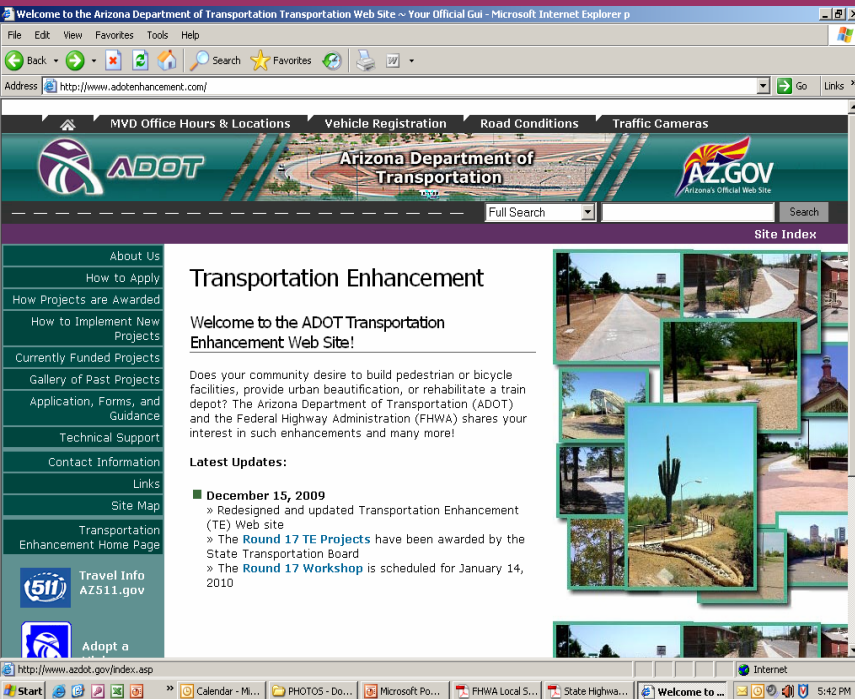
- Per FHWA DG-42A
- District Engineer, TE Section and FHWA approval required
- Approved on project-by-project basis
- JPA Process required

PROJECT CONCLUSION



- Final inspection by FHWA and ADOT
- Celebration

TRANSPORTATION ENHANCEMENT WEBSITE



- Contains guidance on application and development, links to related sites.
- Contains downloads including TE Handbook, application, project assessment format, forms, and maps.
- Website address: www.adotenhancement.com
- Additional link - FHWA Website: Fhwa.dot.gov/environmental/te

TRANSPORTATION ENHANCEMENT PROGRAM

QUESTIONS?



TRANSPORTATION ENHANCEMENT/ SCENIC ROADS SECTION

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